

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Lu et al.	Art Unit :	1648
Serial No. :	10/728,195	Examiner :	Bo Peng
Filed :	December 3, 2003	Conf. No. :	7308
Title :	POLYVALENT, PRIMARY HIV-1 GLYCOPROTEIN DNA VACCINES AND VACCINATION METHODS		

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance.

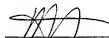
The fee in the total amount of \$180 is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization.

Please apply any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 17738-003001.

Respectfully submitted,

Date: \_\_\_\_\_

7/11/2006



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17738-003001	Application No. 10/728,195
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Lu et al.	
		Filing Date December 3, 2003	Group Art Unit 1648
(37 CFR §1.98(b))			

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	B1							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	C40	Zhan et al., "Minor components of a multi-envelope HIV vaccine are recognized by type-specific T-helper cells," Vaccine 22(9-10):1206-13 (2004)
	C41	Zolla-Pazner et al., "Immunotyping of human immunodeficiency virus type 1 (HIV): an approach to immunologic classification of HIV," J. Virol. 73: 4042-51 (1999)
	C42	HIV Vaccine Development Status Report, May 2000, <a href="http://ninaid.gov/daids/vaccine/whsummarystatus.htm">http://ninaid.gov/daids/vaccine/whsummarystatus.htm</a>
	C43	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	